

Lecture 15 :

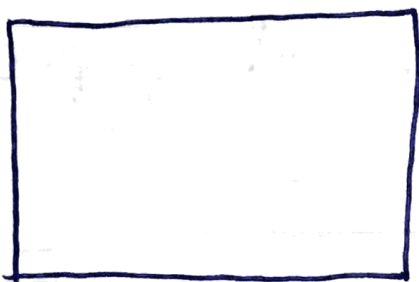
Tuesday, March 3

Remark : From last time :

Local Parametrization theorem :

$\mathcal{A} \subseteq \mathcal{O}_{(\mathbb{C}^n, 0)}$ prime ideal

$$A = V(\mathcal{A})$$



z''



z'

_____ $z' \in \mathbb{C}^d$

$$A \subseteq \{ |z''| \leq C |z'| \}$$

$$|z_j| \leq C \max_{l=1, \dots, d} |z_l|$$

$$d+1 \leq j \leq n$$

$\rightsquigarrow j=n$ \hat{P} = minimal poly. of z_n / \mathcal{M}_d

$$= z_n^q + \sum_0^{q-1} a_j(z') z_n^{q-j}$$

$$|a_j| = \mathcal{O}(|z'|^j)$$

P_j = minimal polynomial of z_j over \mathcal{M}_d .

$$= z_j^{q_j} + \sum_i a_{i,j}(z') z_j^{q_j-i}$$

$$|p_{ij}(z^j)| = \mathcal{O}(|z'|^i)$$

\Rightarrow solutions of $(P_j = 0)$
 $= \mathcal{O}(|z'|)$.

Cartan Coherence Theorem

M is a complex manifold $A \subseteq M$
analytic subset.

$$\hat{\mathcal{I}}_A = \{ \hat{\mathcal{I}}_{A,x} \}$$

$$\hat{\mathcal{I}}_{A,x} = \{ f \in \mathcal{O}_{M,x}, f|_A = 0 \}$$

defines a coherent ideal sheaf

First reductions: Can always assume
that $M = \Delta^n$ polydisk $\ni 0$
 \cup
 A

Observation: One only needs to prove
that $\hat{\mathcal{I}}_A$ is of finite type. Indeed,

$$\mathcal{O}_{\Delta^n}^2 \xrightarrow{\exists} \mathcal{O}_{\Delta^n}^P \rightarrow \hat{\mathcal{I}}_A \subseteq \mathcal{O}_{\Delta^n}$$

So, Oka's coherence theorem already tells us that the second condition is automatically satisfied.

Look for p and surjective

morphism $\mathcal{O}_{\Delta^n}^p \rightarrow \mathcal{I}_A \rightarrow 0$

- \mathcal{A} and \mathcal{B} are two coherent ideal sheaves. Then

$\mathcal{A} \cap \mathcal{B} = \{ \mathcal{A}_x \cap \mathcal{B}_x \}_x$ is also coherent.

obs: $\mathcal{A} + \mathcal{B}$ coherent, $\mathcal{A} \cdot \mathcal{B}$ coherent

and $(\mathcal{A} : \mathcal{B}) = \{ f \mid f\mathcal{B} \subseteq \mathcal{A} \}$

all are coherent! (proof: using Oka's theorem) $\subseteq \mathcal{O}_M$

See book by Gunning. (Vol II)

Proof: Reduce Δ^n

$f_1, f_2, \dots, f_\mu, g_1, g_2, \dots, g_\nu \in \mathcal{O}(\Delta^n)$

$\mathcal{A}_x = \langle f_{1,x}, f_{2,x}, \dots, f_{\mu,x} \rangle$

$\mathcal{B}_x = \langle g_{1,x}, g_{2,x}, \dots, g_{\nu,x} \rangle \quad \forall x \in \Delta^n$

$$h \in \mathcal{O}_x \cap \beta_x$$

$$\theta: \mathcal{O}_{\Delta^n}^{\mu+\nu} \rightarrow \mathcal{O}_{\Delta^n}$$

$$(\phi_1, \dots, \phi_{\mu+\nu}) \mapsto \sum_1^{\mu} \phi_j f_j - \sum_{\mu+1}^{\mu+\nu} \phi_j g_{j-\mu}$$

By Oka's Theorem, $\ker \theta$ is of finite type.

$$h \in \mathcal{O}_x \cap \beta_x$$

$$h = \sum \phi_i f_i = \sum \phi_j g_{j-\mu}$$

$$\exists \phi \in \ker(\theta)$$

□

Proof of Cartan's Theorem

Decompose A into irreducible components.

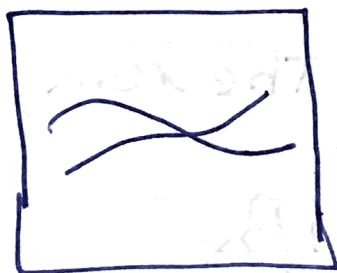
$$A = A_1 \cup \dots \cup A_N$$

$$\mathcal{I}_A = \bigcap \mathcal{I}_{A_i} \quad A_i = \underline{\text{irreducible.}}$$

We may assume that A is locally irreducible (at 0).

Recall: A is irreducible $\Leftrightarrow \mathcal{I}_A$ is prime.
 (primary decomposition of ideals
 in $\mathcal{O}(\mathbb{C}^n, 0)$).

May apply the local parametrization theorem



z'

$$\pi: (\underbrace{z'}_{\mathbb{C}^d}, \underbrace{z''}_{\mathbb{C}^{n-d}}) = z'$$

$\pi: A \rightarrow \Delta^d$ ramified cover
 of deg = g .

• \hat{P} , $\delta(z') = \text{disc}(\hat{P})$.

π is unramified over $\Delta^d \setminus S$

$S = (\delta = 0)$ "bad locus".

$A_0 = A \setminus \pi^{-1}(S)$ is a submanifold
 of dimension d .

$\langle \hat{P}(z', z_n), \delta(z')z_j - B_j(z', z_n) \rangle$
belongs to \mathcal{A} . In fact,

$$B := \langle \hat{P}(z', z_n), \delta(z')z_j - B_j(z', z_n) \rangle$$

we proved $\delta^N \mathcal{I}_A \subseteq B \subseteq \mathcal{I}_A$
for some N .

obs: If $x \in A_0$, $x = (z', z'')$, $\delta(z') \neq 0$.
then $\mathcal{A}_x = B_x$

• Choose generators f_1, f_2, \dots, f_μ of $\mathcal{A}_0 \subseteq \mathcal{U}_{(c', 0)}$
Define

$$\tilde{\mathcal{A}} = B + \langle f_1, \dots, f_\mu \rangle$$

Claim: $\tilde{\mathcal{A}}_x = \mathcal{I}_{A,x}$ for all x .

See the book by Gunning / Grauert-Remmert
Demailly / Hörmander \rightarrow follow Cartan.
(uses perturbation of coordinates)

• $\tilde{\mathcal{A}}_0 = \mathcal{I}_{A,0}$ prime.

• $(\tilde{\mathcal{A}} : \delta) = \text{finite type} = \langle g_1, g_2, \dots, g_\mu \rangle$

Claim: $(\tilde{\mathcal{A}} : \delta)_x = \tilde{\mathcal{A}}_x \quad \forall x$

$g_{i,0} \cdot \delta_0 \in \tilde{\alpha}_0 \Rightarrow \delta$ does not vanish identically at A_0

$\delta|_A \neq 0 \Rightarrow g_{i,0} \in \tilde{\alpha}_0$, so $g_i|_A \equiv 0$.

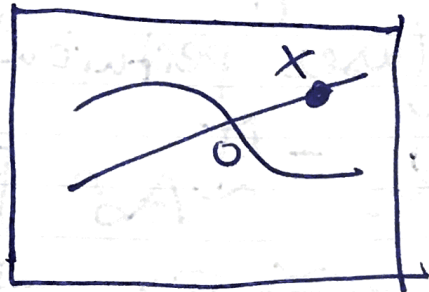
$f_x \in \tilde{\mathcal{I}}_{A,x}$. Want to show that $f_x \in \tilde{\alpha}_x$.

It is clear that $\tilde{\alpha} \subseteq \tilde{\mathcal{I}}_A$, and now we are showing the reverse inclusion.

$(\tilde{\alpha} : f)$ finite type

Pick $V \ni x$ neighborhood of x such that $f \in \mathcal{O}(V_x)$.

On V , $(\tilde{\alpha} : f)$ is finite type.
 $= \langle h_1, \dots, h_V \rangle$



$\otimes \bigcap \{h_i^{-1}(0)\} \subseteq \{\delta=0\} \cap V$

Applying Nullstellensatz, we get $\exists r$ such that $\delta_x^r \in (\tilde{\mathcal{O}}, f)_x$

$$\delta_x^r \cdot f_x \in \tilde{\mathcal{O}}_x \Rightarrow \delta_x^{r-1} f_x \in \tilde{\mathcal{O}}_x$$

$$\xrightarrow{\text{induction}} f_x \in \tilde{\mathcal{O}}_x.$$

□

Further Coherence Theorems \mathbb{C} -manifold

① $\mathcal{J} \subseteq \mathcal{O}_M$ ideal sheaf
 $\text{rad}(\mathcal{J}) = \{ \text{rad}(\mathcal{J})_x \}$ is coherent.

② $A \subseteq M$ analytic subset.

$$\mathcal{O}_A = \mathcal{O}_M / \mathcal{I}_A \text{ is coherent.}$$

③ A is locally irreducible near any of its points.

$\mathcal{O}_{A,x}$ is domain

$$\text{Frac}(\mathcal{O}_{A,x}) =: \mathcal{M}_{\mathcal{O}_{A,x}}$$

$\hat{\mathcal{O}}_{A,x}$ also coherent.

$$\mathcal{O}_{A,x} \subseteq \hat{\mathcal{O}}_{A,x} \subseteq \mathcal{M}_{\mathcal{O}_{A,x}}$$

integral closure.

$\hat{\mathcal{O}}_{A,x}$ = normalization sheaf

④ Direct Image Theorem

$f: X \rightarrow Y$ holomorphic

X, Y are complex spaces.
(\mathbb{C} -manifolds)

f = proper (meaning, preimage of a compact set is compact).

\mathcal{F} = coherent sheaf on X .

$$(f_* \mathcal{F})_x = \lim_{U \ni x} \mathcal{F}(f^{-1}(U))$$

$$R^i f_* (\mathcal{F}) = \lim_{U \ni x} H^i(\mathcal{F}(f^{-1}(U)))$$

The theorem states that

$(R^i f_*)(\mathcal{F})$ are coherent $\forall i \geq 0$.

Consequence: $\tilde{I} = \tilde{I}_A$ A analytic

$\Rightarrow f_* \tilde{I}_A$ coherent $\rightarrow \text{Supp}(\mathcal{O}_M / f_* \tilde{I}_A)$

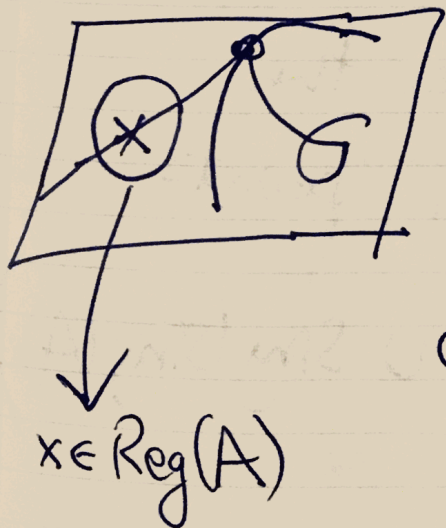
$\Rightarrow \text{Co-Supp}(f_* \tilde{I}_A)$ is analytic
 $= \varphi(A)$ is analytic

which is already a very deep theorem

Thm: A analytic $\subseteq \Omega \subseteq \mathbb{C}^n$, $n \geq 1$

$f: \Omega \setminus A \rightarrow \mathbb{C}$ holomorphic.

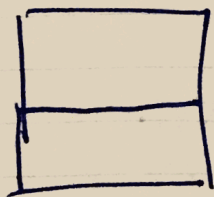
- If f is bounded, then f extends
(as a hol. function)
- If $\text{codim}(A) \geq 2$, then f extends
(as a hol. function)



$$\text{Reg}(A) = A \setminus \text{Sing}(A)$$

$\text{Sing}(A)$ is analytic

$$\text{codim} \text{Sing}(A) \geq \text{codim}(A) + 1$$

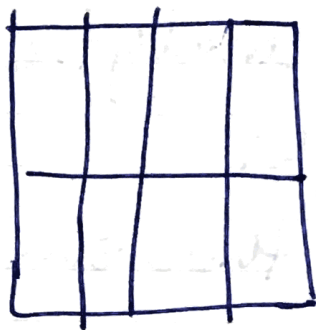


Reduce the situation where A is linear.

$$A = \{z'' = 0\}$$

$$(z, z'')$$

$$\begin{array}{ccc} & \downarrow & \downarrow \\ & z_1, \dots, z_d & z_{d+1}, \dots, z_n \end{array}$$



Fix z' . Look at

$$z' \times \Delta^{n-d}$$

has dimension ≥ 2

Apply Hartog's $\Rightarrow f$ extends to all the slices

Finally, you keep going (now restrict to $\text{Sing}(A)$, and take the its Regular and singular points

$$\text{Sing}(A) = \text{Reg}(\text{Sing}(A)) \cup \text{Sing}(\text{Sing}(A))$$

\Rightarrow Stratification.

Lecture 16

Thursday, March 5

§3. Subharmonic and plurisubharmonic functions

defined on \mathbb{C}

defined on \mathbb{C}^n

psh function \longleftrightarrow convex functions
several \mathbb{C} \longleftrightarrow convex geometry

C. Kiselman "psh functions and potential theory in several \mathbb{C} -variables"

F. Riesz. 1924 subharmonic.

P. Lelong 1942

T. Oka 1942

$\Omega \subseteq \mathbb{C}$,
open $z = x + iy$

$$\frac{\partial}{\partial \bar{z}} = \frac{1}{2} \left(\frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right)$$
$$\frac{\partial}{\partial z} = \frac{1}{2} \left(\frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right)$$

$$\frac{\partial^2}{\partial x^2 \partial \bar{x}^2} = \frac{1}{4} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \triangleq \text{Laplacian}$$

$$\Delta = 4 \frac{\partial^2}{\partial z \partial \bar{z}}$$

(sometimes constant is $\frac{4}{\pi}$, etc.)

Def: $f: \Omega \rightarrow \mathbb{R} \quad e^2$

f is harmonic if $\Delta f = 0$

Ex: If $h: \Omega \rightarrow \mathbb{C}$ is holomorphic,
then $f = \operatorname{Re}(h)$ is harmonic

$$2f = h + \bar{h}$$

$$2 \partial \bar{\partial} f = \underbrace{2 \partial(\bar{\partial} h)}_{=0} + \underbrace{2 \bar{\partial}(\partial \bar{h})}_{=0}$$

obs: harmonic functions are stable
by sum, multiplication by $\lambda \in \mathbb{C}$.

if f is harmonic and h holomorphic,
then $f \circ h$ is harmonic.

$$\bar{\partial}(f \circ h) = (\bar{\partial}f) \circ h \cdot h'$$

If we apply ∂ to this equation, we obtain:

$$\Delta(f \circ h) = 0.$$

Thm 1: $h: \mathbb{D}(0, 1) \rightarrow \mathbb{R}$ harmonic.

Then ① \exists holomorphic f s.t. $h = \operatorname{Re}f$

② for any $0 < r < 1$, we have

$$h(0) = \oint_{\substack{|z|=r \\ \text{circle}}} h(z) dz = \iint_{|z| \leq r} h(z) d\operatorname{Leb}(z)$$

Proof: ① Assume $\Delta h = 0$

$$\Leftrightarrow \bar{\partial}(\partial h) = 0$$

$\Leftrightarrow \partial h = f(z) dz \Rightarrow f$ is holomorphic.

$$F(z) = \int_{[0, z]} f(\zeta) d\zeta = \int_{t=0}^1 f(tz) z dt$$

Since f is holomorphic $\Rightarrow F$ is holom.

And $F'(z) = f(z)$.

$$U = \operatorname{Re}(F) = \frac{1}{2} (F + \bar{F})$$

$$\frac{1}{2} (U_x - iU_y) = F'(z) = f(z)$$

$$= \frac{1}{2} (h_x - ih_y)$$

$$\Rightarrow (U - h) \equiv \text{const.}$$

$$(2) f(z) = \sum_{n \geq 0} a_n z^n$$

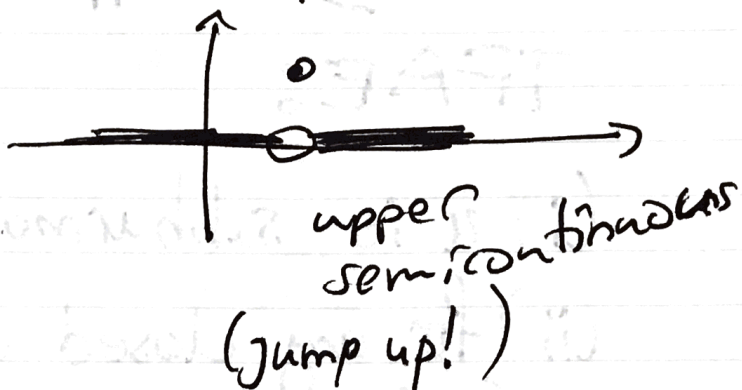
$$f(0) = a_0 = \oint_{|\zeta|=r} \left(\sum_{n \geq 0} a_n \zeta^n \right) d\zeta$$

$$0 = \int_{|\zeta|=r} \zeta^n d\zeta \quad \text{for } n \geq 1 \quad \checkmark$$

Subharmonic Functions

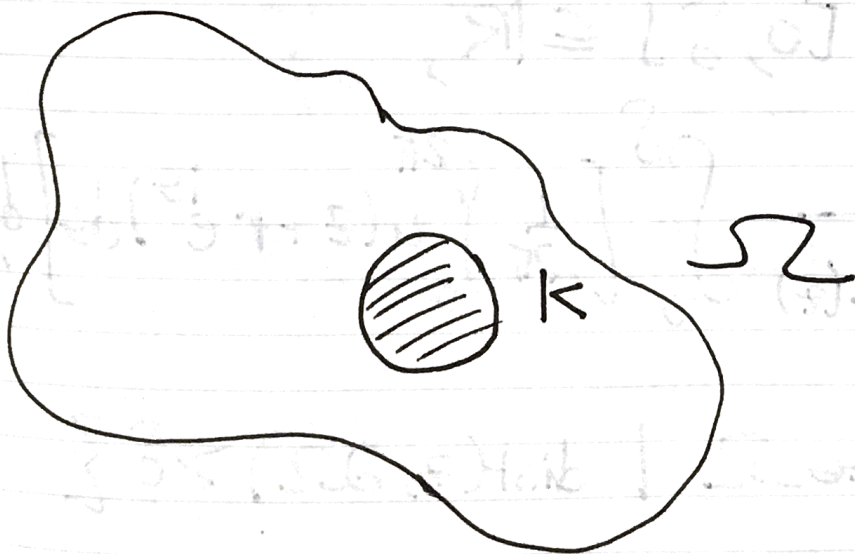
Def: $\mu: \Omega \rightarrow [-\infty, \infty)$ is subharmonic
if (1) μ is upper semi-continuous

Saying that u is upper semicontinuous means that $\{u < c\}$ is open for all c .



② $\forall K$ compact inside Ω ,

for every continuous $h: K \rightarrow \mathbb{R}$ such that $h|_{\text{Int}(K)}$ is harmonic, and $h \geq u$ on ∂K , then $h \geq u$ on K .



Theorem 2: $u: \Omega \rightarrow [-\infty, \infty)$

~~is~~ u USC (upper-semicontinuous).

TFAE:

(i) u is subharmonic,

(ii) for any closed disk $\bar{D} \subseteq \Omega$

~~for~~ for any polynomial $g(z) = \sum a_n z^n$

such that $u \leq \operatorname{Re}(g)$ on ∂D , then

$u \leq \operatorname{Re}(g)$ on D .

(iii) For any $\delta > 0$, for any positive
measure
~~number~~ μ on $[0, \delta] \subseteq \mathbb{R}$,

$$u(z) \leq \frac{1}{\int_0^\delta d\mu(r)} \int_0^\delta \left[\frac{1}{2\pi} \int_0^{2\pi} u(z + r e^{i\theta}) d\theta \right] d\mu(r)$$

on $\Omega_\delta = \{z \in \Omega \mid \operatorname{dist}(z, \partial\Omega) > \delta\}$.

Proof sketch:

(i) \Rightarrow (ii) clear.

(ii) \Rightarrow (iii)

u is USC. This implies that u is locally bounded from above.

For given z , $\{u < u(z) + 1\}$ open $\ni z$

for any compact set $K \subseteq \Sigma$,
 $\sup_K u$ is attained, hence finite.

$\Rightarrow \int u$ is well-defined as an element of $[-\infty, \infty)$.

$$u(z) \leq \frac{1}{2\pi} \int_0^{2\pi} u(z + re^{i\theta}) d\theta$$

"approximate $u|_{\partial D(z,r)}$ by $\text{Re}(P_n)$."

$$u(z) \leq \text{Re}(P_n)(z) = \oint_{|\lambda|=r} \text{Re}(P_n) d\lambda$$

Subharmonic

by (ii)

$$\sim \oint_{|\lambda|=r} u d\lambda$$

$$u_n(z) = \sup_{w \in K} \{ u(w) - n|z-w| \}$$

• u_n is continuous, in fact, n -Lipshitz

• $u_{n+1} \leq u_n$

• $z \in K, u_n(z) \downarrow u(z)$ $D = D(z, r)$

$$u_n(z) \geq u(z)$$

So, u_n is C^0 , and so we get

$\exists P_n(z) = \sum a_n z^n$ such that

$$\sup_{\partial D} |u_n - \operatorname{Re}(P_n)| \leq \frac{1}{n}$$

Stone-Weierstrass theorem

$$\bullet \operatorname{Re}(P_n) \geq u \quad \text{on } \partial D$$

$$u(z) \leq \operatorname{Re}(P_n)(z) \quad \text{at } z$$

so by assumption (a):

$$u(z) \leq \operatorname{Re}(P_n) = \frac{1}{2\pi} \int_{|\zeta|=r} \operatorname{Re}(P_n)(\zeta) d\zeta$$

$$= \frac{1}{2\pi} \int_{|\zeta|=r} u_n(\zeta) d\zeta + O\left(\frac{1}{n}\right)$$

letting $n \rightarrow \infty$, and using the monotone convergence theorem, we obtain that:

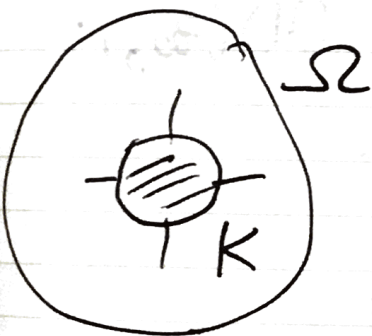
$$u(z) \leq \frac{1}{2\pi} \int_{|\zeta|=r} \mu d\zeta$$

(iii) \Rightarrow (i)

$h \in C^0(K)$

$h|_{\text{Int}(K)} = \text{harmonic}$

$h \geq u$ on ∂K



$\sup_K (u-h)$ attained $= M > 0$.
(by contradiction)

$F = \{ (u-h) = M \}$ set where supremum is attained.

Note that ~~$F \subset K$~~

$F \subset \subset \text{Int}(K)$ by assumption.

\uparrow
closed

Take $z_0 \in F$ with minimal distance to ∂K .

Let $r = \text{dist}(z_0, \partial K)$, $D(z_0, r) \subseteq K$

$$\oint_{|\xi - z_0| = r} (u-h) \stackrel{\text{(iii)}}{\geq} (u-h)(z_0) = M > 0$$

$D = D(z_0, r)$

\exists arc of positive length on $\partial D(z_0, r)$ such that $(u-h)|_A \leq M - \varepsilon$.

$$\oint_{\partial D(z_0, r)} (u-h) = \frac{1}{2\pi r} \left(\int_{\partial D \setminus A} + \int_A \right)$$

$$\leq \frac{1}{2\pi r} \cdot \left(M \cdot \text{length}(\partial D \setminus A) + (M - \varepsilon) \cdot \text{length}(A) \right)$$

$$< M$$

contradiction

□

Convention: $u \equiv -\infty$ is not subharmonic.

$SH(\Omega) = \{u: \Omega \rightarrow [-\infty, +\infty) \text{ subharmonic}\}$.

→ Theorem 2 implies

subharmonicity is a local property!

Basic Properties: of subharmonic functions

Maximum Principle: Suppose that u is subharmonic, i.e. $u \in SH(\Omega)$.

If $z_0 \in \Omega$ is a local maximum for u , then u is constant in a neighborhood of z_0 .

[Proof: Apply submean value inequality]

① $u, v \in SH(\Omega)$, $u + v, \lambda u, \max\{u, v\} \in SH(\Omega)$
 $\lambda > 0$

② $(u_i)_{i \in I}$ any family of subharmonic functions

$u = \sup_{i \in I} u_i$. If $u < \infty$, and u is u.s.c., then $u \in SH(\Omega)$.

[If the assumption ($u < \infty$ & u is u.s.c.)
 then take $u^* = u \circ \text{regularization of } \sup u_i$.]

→
 Comment (for reference)

③ $u_n \in SH(\Omega)$, $u_n \downarrow u$ (pointwise)
 if $u \neq -\infty$, then $u \in SH(\Omega)$.

④ $\varphi: \mathbb{R} \rightarrow \mathbb{R}$ convex & increasing
 if $u \in SH(\Omega) \Rightarrow \varphi \circ u \in SH(\Omega)$

Generalization: $\chi: \mathbb{R}^n \rightarrow \mathbb{R}$

Assume χ is convex, non-decreasing
 in each variable.

$u_1, u_2, \dots, u_n \in SH(\Omega) \Rightarrow \chi(u_1, \dots, u_n) \in SH(\Omega)$

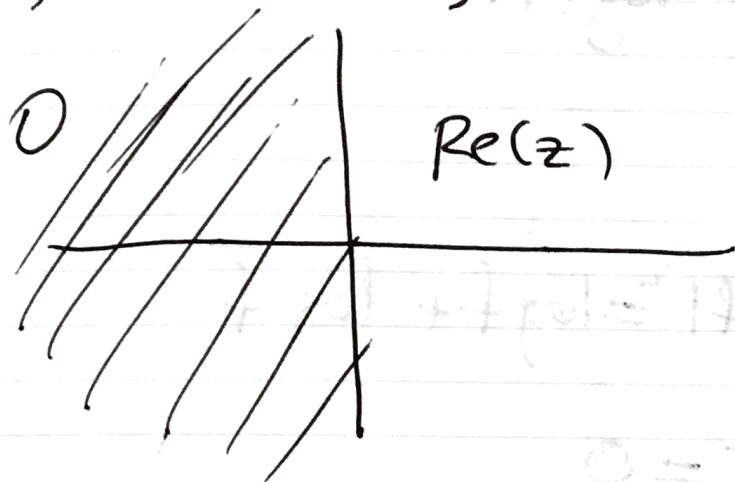
Proof: (of ④) $\varphi(x) = \sup_{a > 0} \{ax + b\}$
 $ax + b \leq \varphi$

$\varphi(u) = \sup_{a > 0} \{au + b\}$ is subharmonic by ②

Example: $f \in \mathcal{O}(\Omega)$

• $\max\{\operatorname{Re}(f), 0\} \in SH(\Omega)$

So, if $f(z) = z$, $\Omega = \mathbb{C}$, we get



so it is constant on big open subset, but not globally constant.

$\log |f| \in SH(\Omega)$ if $f \neq 0$

$|f|^\alpha \in SH(\Omega) \quad \forall \alpha > 0$

$\max\{\log |f_i|\}, f_1, \dots, f_n \in \mathcal{O}(\Omega)$

apply $\varphi(x) = \cancel{e^x} e^x$ increasing + convex

$\log |f| \in SH(\Omega)$

$\log |f| \leq \operatorname{Re}(P)$ on ∂D

$\Leftrightarrow \log |f e^{-P}| \leq 0$ on ∂D

$\Leftrightarrow |f e^{-P}| \leq 1$ on ∂D extended real line.

$\Leftrightarrow |f e^{-P}| \leq 1$ on D .
Max-principle

obs: $\log |f|$ is C^∞ from $\Omega \rightarrow [-\infty, +\infty]$

If $f^{-1}(0) \neq \emptyset \Rightarrow \log|f|'(-\infty) \neq \emptyset$.

If $f^{-1}(0) = \emptyset \Rightarrow \log|f|$ is harmonic



Easier proof: $\log|f|^2 = \log f + \log \bar{f}$

$$\partial \bar{\partial} \log|f|^2 = 0.$$

"want to show that $u \in SH(\Omega)$

$$\Leftrightarrow \Delta u \geq 0$$

Theorem 3: If $u \in SH(\Omega)$, then
for any compact subset $K \subset \Omega$,

$$\int_K u > -\infty, \text{ in other words,}$$

we have $u \in L^1(K)$,

$$u \in L^1_{loc}.$$

Consequence: $\{u = -\infty\}$ has zero

Lebesgue measure.



It can be the case

$$\overline{\mu = -\infty} = \Omega.$$

"Idea: Take $\overline{z_n} = \Omega$ "

$$u(z) = \sum_{n \geq 0} \underbrace{\alpha_n}_{\geq 0} \log |z - z_n|$$

for suitable weights α_i .

Proof: If $u(z) > -\infty$,

$$\iint_{|u-z| < 1} \mu(u) d\text{leb}(u) \geq u(z) > -\infty$$

$E = \{z \in \Omega_1, u \text{ integrable in one open ~~all~~ ^{closed} disk containing } z\}$.
and included in Ω

E is clearly open.

Claim: E is also ~~open~~ closed.

- $\Omega \setminus E$ is open: indeed, $z \in \Omega \setminus E$ if $z_n \in E \rightarrow z$

$$\Rightarrow \exists z_n' \rightarrow z, \quad u(z_n') > -\infty$$

$$u(z_n') \leq \iint_{D(z_n', \varepsilon)} u \, d\text{Leb.}$$

$$D(z_n', \varepsilon)$$